

Client Name (Last Name, First Name, Middle Name)

Instructions: For each row, read the question on the first box and put a check on the circle corresponding to the answer that best suits you.

QUESTIONS	A	B	C	D
1. Why are you investing?	<input type="radio"/> To have money available anytime I need it.**	<input type="radio"/> To have income to support my day-to-day needs.	<input type="radio"/> To save up for a future expense (i.e., car, travel, etc.)	<input type="radio"/> To build my wealth over the long term.
2. Will you need your money anytime soon?	<input type="radio"/> I might need to withdraw anytime.**	<input type="radio"/> I might need to withdraw within 1 year.***	<input type="radio"/> I might need to withdraw within 1-5 years.	<input type="radio"/> I might need to withdraw after 5 years.
3. What level of risk are you willing to accept?	<input type="radio"/> I'm not willing to take any risk and I also want my investment insured.*	<input type="radio"/> I can take a minimal amount of loss in exchange for slightly higher returns.	<input type="radio"/> I can take a moderate amount of loss in exchange for moderately higher returns.	<input type="radio"/> I can take a substantial amount of loss in exchange for much higher returns in the long term.
4. How long do you plan to invest?	<input type="radio"/> Less than 30 days.**	<input type="radio"/> 30 days to less than 1 year.***	<input type="radio"/> 1 year to less than 5 years.	<input type="radio"/> 5 years or longer.
5. Are you familiar with the following investments?	<input type="radio"/> Savings	<input type="radio"/> Savings, Time Deposits, Government Securities, and/or Money Market Funds	<input type="radio"/> Savings, Time Deposits, Government Securities, Money Market Funds, Corporate Bonds/Notes and/or Bond Funds	<input type="radio"/> Savings, Time Deposits, Government Securities, Money Market Funds, Corporate Bonds/Notes, Bond Funds, Tier 2 Capital Equities, Balanced/Equity Funds, Buy/Sell Real Estate, and/or Derivatives
6. Which of the following investments have you tried?	<input type="radio"/> Savings	<input type="radio"/> Savings, Time Deposits, Government Securities, and/or Money Market Funds	<input type="radio"/> Savings, Time Deposits, Government Securities, Money Market Funds, Corporate Bonds/Notes and/or Bond Funds	<input type="radio"/> Savings, Time Deposits, Government Securities, Money Market Funds, Corporate Bonds/Notes, Bond Funds, Tier 2 Capital Equities, Balanced/Equity Funds, Buy/Sell Real Estate, and/or Derivatives
7. Where do you want to invest now?	<input type="radio"/> Savings	<input type="radio"/> Savings, Time Deposits, Government Securities, and/or Money Market Funds	<input type="radio"/> Savings, Time Deposits, Government Securities, Money Market Funds, Corporate Bonds/Notes and/or Bond Funds	<input type="radio"/> Savings, Time Deposits, Government Securities, Money Market Funds, Corporate Bonds/Notes, Bond Funds, Tier 2 Capital Equities, Balanced/Equity Funds, Buy/Sell Real Estate, and/or Derivatives